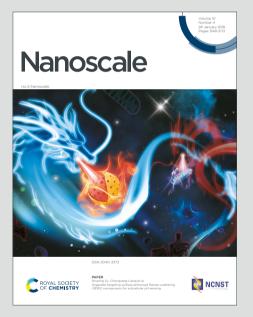
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1 Nanoparticle assembly modulated by polymer chain conformation in composite materials

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Abstract

8 Mixing nanoparticles into a strategically selected polymer matrix yields nanocomposites with wellcontrolled microstructures and unique properties and functions. The modulation of nanoparticle assembly 9 10 by polymer chain conformation can play a dominant role in determining nanocomposite structures, yet such a physical mechanism remains largely unexplored. We hypothesize that highly ordered microdomains of 11 rigid linear polymers provide a template for nanoparticle assembly into open fractal structures. We 12 13 conducted mesoscopic computer simulations and physical experiments to elucidate how polymer chain 14 conformation regulates dynamic evolution of nanoparticle structures during the drving processing of polymer nanocomposite films. The evaporation of polymer-nanoparticle mixtures with varying chain 15 stiffness was simulated using dissipative particle dynamics. The formation of distinguished nanoparticle 16 assemblies as a result of matrix selection was further corroborated by probing nanoparticle aggregation in 17 different polymer nanocomposite coatings. The results show that polymer conformation not only influences 18 19 the dispersion states of individual particles (dispersed vs. aggregated), but also modulates the morphologies of large-scale assembly (globular vs. fractal). The emergence of nematically ordered polymer clusters when 20 21 the chain rigidity is increased creates local solvent-rich "voids" that promote anisotropic particle aggregates, 22 which then percolate into open fractal structures upon solvent evaporation. The nanoparticle dynamics also 23 exhibits an intriguing non-monotonic behavior attributed to the transitions between the coupling and 24 decoupling with polymer dynamics. The nanoparticle assembly morphologies obtained in simulations match well with the electron microscopy images taken in physical experiments. 25

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29 Introduction

30 Polymer nanocomposites, a mixture of polymers or copolymers with nanosized particulates, offer remarkable improvements in mechanical, optical, thermal, and electrical properties compared to traditional 31 polymeric materials.¹⁻⁴ Polymer nanocomposite materials acquire novel functions from nanoparticles while 32 33 preserving useful properties of polymer. These materials exhibit low density, flexibility, and 34 biocompatibility of the polymer matrix with enhanced functionalities provided by nanoparticles. This provides a broad range of applications in biomedicine, environmental sustainability, and food packing.⁵⁻⁸ 35 It is known that the performance of these hybrid materials is tightly correlated with the structure of polymer-36 particle interactions. Conventionally, nanoparticles need to be well dispersed for obtaining favorable 37 rheological properties and optical transparency.^{9,10} while a percolated particle network is preferred for 38 enhancing mechanical strength and thermal conductivity.^{3,11} Controlling the dispersion state and 39 microstructure of the polymer-nanoparticle mixture, albeit being extensively studied,^{6,12} still presents a 40 major challenge in industrial processing techniques (e.g., casting or spraying). The difficulties here are 41 42 ascribed to the perplexing enthalpic and entropic interactions among polymer, nanoparticle, and solvent, the largely unknown effects of polymer local confinement, and nonequilibrium perturbations of solvent 43 evaporation.12 44

The influences of the chemical reactions between polymers and nanoparticles on particle assembly 45 structure, such as core-shell nanoparticles grafted with polymers at surface, have been extensively 46 studied.^{13–17} Investigation of physical mechanisms that determine the composite microstructure are mostly 47 limited to the effects of nanoparticle size, concentration, and shape.^{14,18,19} However, the physical properties 48 of polymers (especially molecular architecture and chain conformation) and their impact on particulate 49 50 distribution and assembly in the mixture is not well understood. The physical confinement provided by the ordered polymer microdomains can affect nanoparticle dynamics, allowing for the design of nanocomposite 51 52 materials with controlled microstructure. Previous work shows that chain stiffness has a great impact on the interfacial adsorption layer around nanoparticles²⁰ and the diffusion behavior of individual particles in 53

the polymer matrix.^{21,22} These findings focused on single nanoparticle are of great interest, as they indicate 54 55 that polymer conformation may play a role in the regulation of large-scale nanoparticle assembly. Recently, Olson et al. observed a percolated, mesoscale particle assembly structure in a polymer nanocomposite film 56 of 2-hydroxyethyl cellulose (HEC) and zinc oxide (ZnO) nanoparticles. Upon changing the polymer matrix 57 58 to 2-hydroxyethyl starch (HES), nanoparticles instead form globular aggregates.²³ Since the HES and HEC have identical chemical composition, the difference in the ZnO assembly structure is attributed to different 59 polymer architectures (i.e., chain conformation and persistence length) of HES and HEC.²³ This result 60 provides evidence to the concept of nanoparticle assembly driven by polymer conformation. Notably, 61 62 several studies report polymers can form liquid crystalline ordering in the solution state with increasing persistence length.²⁴⁻²⁶ Methylcellulose (MC), another cellulose derivates widely used in nanocomposites, 63 can self-assemble into long fibrils in solutions, and this fibrillar formation is related to the polymer chain 64 65 stiffness.^{27,28} These examples of collective ordering of polymers in solution highlight the limitations of 66 considering chains as uniformly distributed coils, which is assumed in many theoretical models of polymernanoparticle assembly. In addition, the spatial inhomogeneity and anisotropic interactions introduced by 67 the polymer ordering can generate local confinement that modulate the nanoparticle assembly kinetics. All 68 of the previous studies strongly suggest that an understanding of the influence of chain conformation on the 69 70 particle assembly is necessary for uncovering structure-property relationships in polymer nanocomposites.

The relative size ratio between nanoparticle and polymer is critical for particle-polymer interactions 71 72 in nanocomposite systems. When the particle size is much larger than characteristic length scales of polymer, it is well known that the depletion interaction will lead to the aggregation of particles.^{29–31} This 73 behavior is entropy driven, in which the polymer chains maximize their entropy as the particles decrease 74 their exposure to polymers by aggregation.^{29,32} The depletion interaction diminishes as the particle size 75 decreases to be comparable or smaller than the polymer coil size.^{32,33} For direct comparison with the 76 77 experimental results, the size of nanoparticles in the simulation study is set to be comparable to the size of 78 polymer coils. Therefore, depletion force is negligible.

79 In this work, we performed mesoscopic simulations to provide insights into the effects of polymer 80 conformation on the dynamic evolution of nanoparticle assembly structure. The simulation couples the thermodynamic equilibrium of bulk polymer-nanoparticle mixtures with explicit solvent evaporation to 81 82 model the typical casting process of polymer nanocomposite films. The results discover that the dynamics 83 and final assembly structure of nanoparticles are strongly dependent on the polymer conformation. In particular, the solvated polymers change their collective structure from being randomly distributed to highly 84 ordered when the chain rigidity is increased. Surprisingly, the nanoparticle dynamics varies non-85 monotonically as polymer stiffness increases a result of their interaction with surrounding polymers. The 86 87 particle diffusion is first suppressed but subsequently enhanced after long-range orientational order emerges. The ordered clusters of polymers create local "voids" that are free of polymers, in which nanoparticles are 88 favorably dispersed. These polymer microdomains then promote the anisotropic assembly of nanoparticles 89 90 during evaporation and ultimately guide the percolation of fractal structures in the dry composite. The 91 chain-conformation-controlled mechanism of the nanocomposite microstructure evolution is corroborated with the experimental evidence in polymer nanocomposite films containing different nanoparticles 92 including ZnO, titanium dioxide (TiO_2), and silica (SiO_2) nanoparticles in HEC and HES matrices. The 93 94 results suggest that the drastic change in nanocomposite structures observed with different chain 95 conformations may not depend on the specific chemistry of nanoparticles. This work not only reveals the physics of polymer-structure-modulated nanoparticle dynamics, but also provide a simple approach for 96 engineering nanocomposite materials with desired structures. 97

We performed computational studies of polymer nanoparticle mixtures with cast solvent using dissipative particle dynamics (DPD).^{34,35} DPD is a mesoscale method widely used in the simulations of complex fluid systems. In DPD, individual spherical, coarse-grained bead represents a group of small molecules. The dynamics of these beads is governed by Newton's second law. The total force on bead *i* is calculated from the pairwise forces between beads *i* and *j*. Each pairwise interaction contains three parts:

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conservative force, dissipative force, and random force: $\mathbf{F}_{i} = \sum \left(\mathbf{F}_{ij}^{C} + \mathbf{F}_{ij}^{D} + \mathbf{F}_{ij}^{R} \right)$. The sum runs over all 104 beads within a certain cutoff radius r_c from bead *i*. The conservative part is given by $\mathbf{F}_{ij}^{C} = a_{ij}(1 - r_{ij})\mathbf{e}_{ij}$, 105 where a_{ij} is the maximum repulsion between beads *i* and *j*; $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j| / r_c$ is the inter-bead distance; 106 and $\mathbf{e}_{ij} = (\mathbf{r}_i - \mathbf{r}_j) / |\mathbf{r}_i - \mathbf{r}_j|$ represents the force direction. The interaction strength a_{ij} between the beads of 107 the same species is set to 25. This value has been parametrized to recover the compressibility of water.³⁵ 108 109 The miscibility of different fluid components is controlled by the excess repulsion, which is defined as the difference in repulsion between the dislike- and like-species repulsions. Compared with the Lennard-Jones 110 potential used in molecular dynamics and Brownian dynamics, the soft-core linear force \mathbf{F}_{ij}^{C} permits the 111 use of much larger time steps in DPD and thus allows simulations to access larger time and length scales. 112

The dissipative force $\mathbf{F}_{ij}^{\mathrm{D}}$ scales with the relative velocity of two beads $\mathbf{v}_{ij} = \mathbf{v}_i - \mathbf{v}_j$, given by 113 $\mathbf{F}_{ij}^{\mathrm{D}} = -\gamma w_{\mathrm{D}}(r_{ij})(\mathbf{e}_{ij} \cdot \mathbf{v}_{ij})\mathbf{e}_{ij}$. The random force is given by $\mathbf{F}_{ij}^{\mathrm{R}} = \sigma w_{\mathrm{R}}(r_{ij})\xi_{ij}\mathbf{e}_{ij}$, where ξ_{ij} is a random 114 variable with Gaussian statistics $\langle \xi_{ij}(t) \rangle = 0$ and $\langle \xi_{ij}(t) \xi_{i'j'}(t') \rangle = (\delta_{ii'} \delta_{ij'} + \delta_{ij'} \delta_{ij'}) \delta(t - t')$. $w_{\rm D}$ and $w_{\rm R}$ 115 116 are arbitrary weight functions depending on the interparticle distance; γ determines the strength of viscous dissipation; and σ is the noise amplitude. The magnitudes of the dissipative and random forces are 117 correlated with each other through the fluctuation-dissipation theorem.³⁵ The theorem requires 118 $\left[w_{\rm R}\left(r_{ij}\right)\right]^2 = w_{\rm D}\left(r_{ij}\right) = \left(1 - r_{ij}\right)^2$ and $\sigma^2 = 2k_{\rm B}T\gamma$, with $k_{\rm B}$ being the Boltzmann constant. This relation 119 also determines the temperature of a DPD system T. As per convention, DPD simulations consider all 120 beads having the same mass m. The cutoff radius $r_{\rm c}$ and energy of thermal fluctuation $k_{\rm B}T$ are selected 121 122 as the characteristic length and energy scales, respectively. Based on the dimensional analysis, the characteristic time for DPD is thus given as $\tau = \sqrt{mr_c^2 / k_B T}$. We present the simulation results in reduced 123 units with $r_{\rm c}$, m, and $k_{\rm B}T$ all set to one, which also give $\tau = 1$. 124

125 Unless stated otherwise, polymers are simulated as monodisperse chains using the simple beadspring model. Individual chain is constructed by a sequence of $N_p = 150$ DPD beads connected with 126 harmonic bonds. As a result, the polymer beads experience additional permanent bond force 127 $\mathbf{F}_{ii}^{B} = -B(r_{ii} - b)\mathbf{e}_{ii}$ with a spring constant B = 128 and an equilibrium bond length b = 0.5. In order to 128 control the chain stiffness and conformation, a bending potential given by $E = K [1 + \cos(\theta)]$ is 129 incorporated.^{36–38} The parameter K tunes the strength of the potential and stiffness of the chains. For a 130 chain with $K \ge 2$, the stiffness parameter is related to the persistence length via $l_p \approx bK$.^{22,37} In this 131 132 work, we systematically change K from 2 to 50 to control the polymer conformation and investigate its influence on the polymer-nanoparticle assembly. To represent more realistic polymer architecture (e.g., 133 134 HEC), We also simulated the stiff polymers with side chains that have different weight fractions to the backbone. Notably, the parameters of bead-spring model in DPD need to be carefully selected. Soft 135 136 conservative forces between DPD beads allow bond crossing and topological violation of polymer chains. 137 These simulation artifacts can lead to unrealistic chain dynamics if occur frequently, especially in dense and entangled polymeric systems.^{39–41} Improved models such as the multi chain approach⁴⁰ and segmental 138 repulsive potentials⁴² have been developed to address this issue but they substantially increase 139 140 computational costs. On the other hand, the unphysical bond crossing in DPD can also be largely reduced by selecting appropriate bond and angle potentials for the polymer model.⁴³ Other studies have shown that 141 142 stiff and short harmonic bonds with the addition of angle potentials effectively suppress bond crossing and allow DPD simulations to capture polymer dynamics correctly.^{44–46} We select similar parameters for the 143 144 polymer model used in this work.

Each nanoparticle is constructed from a face-centered cubic (FCC) cluster of DPD beads that is truncated into a spherical shape. An additional layer of DPD beads is introduced to impose a well-defined particle surface with a high degree of symmetry.^{47,48} The number density of constituent beads in nanoparticles is set to 10 to prevent unphysical overlapping among nanoparticles, polymers, and solvent.

The particles have a radius of 1 and move as rigid bodies. Thus, the nanoparticles are comparably smaller 149 150 than the softest polymer coil which has a radius of gyration of 8 measured at K = 2. This size difference 151 eliminates the depletion effect prevalently considered in polymer nanocomposite systems. To represent the chemistry of many inorganic nanoparticles such as ZnO,^{12,23} the modeled nanoparticles are set to be well 152 153 dispersed in aqueous solvent but enthalpically incompatible with polymers. To model miscibility and 154 immiscibility between different components, DPD interaction parameters across differing types of beads are set based on our previous results.^{49,50} Namely, the incompatibility between the nanoparticle and polymer 155 is controlled by setting the DPD repulsion parameter $a_{NP} = 35$, where N, P represent bead types of 156 nanoparticles and polymers, respectively. The rest of the repulsion parameters are set to $a_{ii} = 25$. We 157 158 consider polymer nanocomposite mixtures with 50% water content as the initial polymer solution samples before evaporation. The weight ratio between the polymers and nanoparticles is set to 9:1. The total numbers 159 of polymer chains and nanoparticles are 639, and 217, respectively. 160

All simulations are initially conducted in cubic domains of dimensions $40 \times 40 \times 40$ or 161 $100 \times 100 \times 100$ with periodic boundary conditions applied in all directions. The total number density is set 162 to 3 per convention for DPD.³⁵ The time step is $\Delta t = 0.005$ and an equilibrium simulation typically runs 163 3,000,000 time steps for probing the microstructure evolution. For probing nanoparticle dynamics, longer 164 165 simulations of 10,000,000 time steps are conducted for calculating the mean squared displacement and diffusivity of particles. An evaporation simulation is conducted after the equilibrium to investigate the final 166 167 nanoparticle assembly. The characteristic time scales of physical evaporation in experiments are orders of 168 magnitude larger than the time scales of structural development on the particle and chain level. Modeling continuous evaporation while resolving the detailed dynamics of polymer and nanoparticles is beyond the 169 accessible computational time. Thus, we exploit a stepwise approach to gradually decrease the water 170 171 content in the bulk to mimic the evaporation process. In short, after equilibrium, we remove 25% of water 172 beads and let the simulation run 400,000 timesteps for reaching new equilibrium. This process repeats until all water beads are evaporated out. To keep the total number density as a constant, the simulation domain 173

- 175 Physical experimental procedure of forming the nanocomposites are detailed in the electronic
- 176 supplementary information.

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178 Results and Discussion

179 A. Equilibrium with solvent

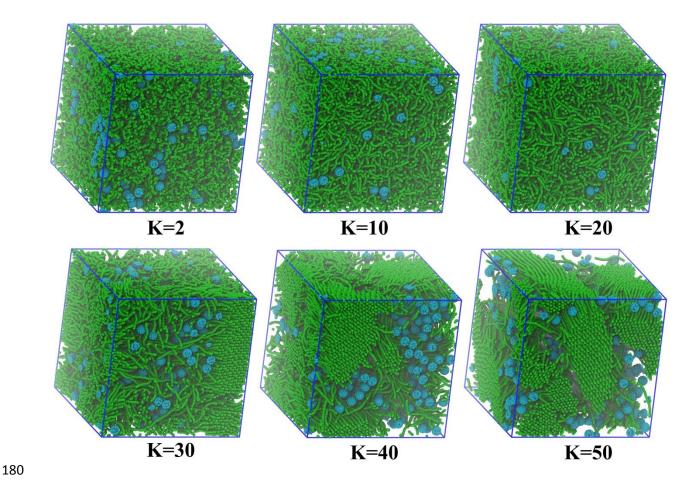


Figure 1. Final polymer-particle morphologies for equilibrium runs under the solvation of 50% water, with polymer stiffness parameter K = 2, 10, 20, 30, 40, and 50 respectively. The green beads represent polymer beads, the cyan beads represent particle beads. Water beads are not displayed for clarity.

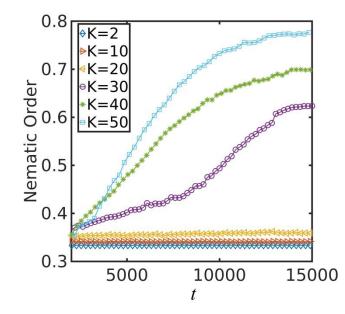
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Figure 1 shows that the polymers form ordered and compact structures at equilibrium as the chain stiffness increases. The polymers clearly assemble into locally nematic bundles with stiffness parameter $K \ge 30$. The bundling of long-persistence-length polymers in solution agrees well with previous theoretical and experimental findings.^{24,25,27,51–56} The formation of the ordered polymer domains is driven

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by the reduction of significant bending energy when stiff polymers align.^{53,57} The kinetics of this microphase 189 190 separation is describable by a nucleation and growth mechanism.⁵⁷ In particular, the collective bundling of polymer chains dominates the kinetics by increasing the energy barrier for entropy-driven rearrangements 191 of the chains.^{54,57,58} The ordering of rigid polymer may be augmented by the intramolecular hydrogen bonds 192 as in native cellulose.⁵⁹ We calculate the nematic order parameter of the polymer backbone 193 $S = \langle (3\cos^2 \theta_{ij} - 1)/2 \rangle_{i \neq i}$ to quantitatively characterize the local orientational ordering of polymer in the 194 equilibrium simulations.^{51,52} Here, θ_{ij} is the angle between two bond vectors *i* and *j*, $\langle L \rangle$ represents spatial 195 average of all pairs of bond vectors within a cutoff distance of $5r_c$. We stress that changing the cutoff 196 distance does affect the absolute values of nematic order parameter but has no influence on its relative 197 behavior for different K. Figure 2 clearly shows S increases as K increases. Especially, when $K \ge 30$, the 198 time evolution of nematic order shows the polymers gradually develop orientational order during 199 equilibrium. While K is less or equal to 20, these relatively soft polymers relax to homogenous structures 200 with no sign of bundling. Interestingly, coexistent with highly ordered polymer structures (e.g., K = 40201 and K = 50 in Figure 1) the nanoparticles are localized in the solvent-rich regions created by the bundling 202 of polymers. These rigidity-induced self-organization of polymer has a clear influence on the nanoparticle 203 204 dynamics and assembly. Videos S1-S3 present complete time evolution of polymer-nanoparticle mixtures 205 for several representative K values.



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Figure 2. Polymer nematic order parameters during the equilibrium runs for different polymer stiffness.

The mean squared displacements (MSD) of nanoparticles $\langle \Delta r^2 \rangle = (1/N) \sum_{i=1}^{N} \langle \left[r_i^{\text{com}}(t) - r_i^{\text{com}}(0) \right]^2 \rangle$ are 209 210 calculated to elucidate the detailed dynamics of the nanoparticles, which are important for microstructure evolution. Here, N is the total number of nanoparticles. $r_i^{com}(t)$ is the position of the center of mass a 211 particle at time t. The dynamics of nanoparticles can be inferred by the relation $\langle \Delta r^2 \rangle \sim t^{\nu}$ with ν being 212 213 an exponential fitting constant. Figure 3(a) shows the MSD profiles for different K at equilibrium. Interestingly, the nanoparticle dynamics exhibits diffusive scaling with $v \approx 1$ on long time scales ($t > 10^4$) 214 in all systems despite distinct polymer structures observed in Figure 1. A sub-diffusive region can be 215 observed on short time scales ($10^2 < t < 10^3$) as polymer stiffness increases. This short-time subdiffusion 216 217 is attributed to the increased coupling between nanoparticles and the segmental relaxations of the surrounding polymer chains.^{22,60,61} However, the subdiffusive behavior disappears when the microphase 218 separation between highly rigid polymers and solvent occurs, suggesting the long-time normal diffusion 219

- 220 observed in both the homogeneous systems with flexible polymers and the partitioned systems with rigid
- 221 polymers have rather different underlying dynamics.

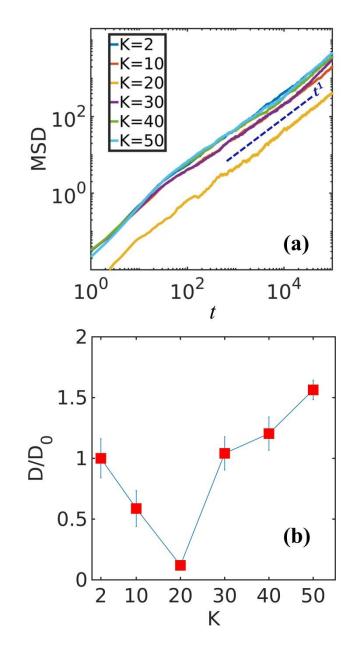


Figure 3. (a) The mean squared displacements of particles in the long-time equilibrium runs for different polymer stiffnesses. (b) Normalized particle diffusivity D/D_0 of equilibrium runs for K = 2, 10, 20, 30, 40, and 50, respectively. Where D_0 represents the diffusivity for K = 2. The error bars represent the standard deviations among 5 measured segments in the diffusive regions of the MSD.

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To uncover more details in the long-time diffusion of nanoparticles, we calculate nanoparticle 228 diffusivity D by fitting the diffusive region of the MSD curve to $\langle \Delta r^2 \rangle = 6Dt$. As shown in Figure 3(b), 229 230 the diffusivity changes nonmonotonically as the polymers become stiffer. In particular, the diffusivity first decreases as polymer stiffness increases to K = 20 while the mixture remains homogeneous. The long-time 231 diffusion in homogeneous and dense polymer solutions and the diffusivity decrease can be described by the 232 mode-coupling theory.^{22,62,63} Surprisingly, the diffusion of nanoparticles is significantly enhanced with 233 increasing chain stiffness when $K \ge 30$. The value of the diffusivity even exceeds the one in the system 234 with the most flexible polymers (K = 2) and increases as K further increases. This enhanced diffusion is 235 236 induced by the polymer-solvent phase separation. As the polymer nematic ordering develops, the 237 nanoparticles concomitantly migrate to the solvent-rich regions. Particles dispersed in the background 238 solvent are shown to decouple from typical polymer dynamics. We expect the diffusivity to obey the Stoke-239 Einstein relation. Besides influencing the nanoparticle dynamics, the boundaries and the connections of the "openings" in the polymer matrix introduce physical confinement for large-scale nanoparticle assembly. 240 We speculate that as solvent evaporates, these "openings" will "mold" the nanoparticle aggregates into an 241 open fractal structure. 242

243 **B.** Evaporation

After equilibrium, evaporation is modeled by stepwise removal of 25% of the total initial solvent beads. Figure 4(a) shows the snapshots of the system of K = 50 at solvent evaporation percentages of 0%, 25%, 50%, 75%, and 100%, respectively. The nanoparticles are initially dispersed in the "voids" without notable agglomeration. In the final stage, the nanoparticles are caged by the boundaries of polymer bundles. Figure 4(b) displays the final particle assemble structure after evaporation for different values of K. It is clearly shown that the nanoparticles assemble into large clusters at low (K = 2) and high (K = 40 and 50) polymer stiffness. Notably, at intermediate stiffnesses, the nanoparticles are relatively dispersed. The

simulations also uncover that the clusters formed in the soft polymer matrix resemble globular aggregates, while the assembly in rigid polymer matrix is clearly more anisotropic. The distinct aggregation morphologies at low and high polymer stiffness and the dispersive particle distribution at intermediate polymer stiffness confirms the significant impact of polymer conformation on the large-scale nanoparticle assembly. Videos S4-S6 show the detailed evolution of the systems under evaporation for different *K*.

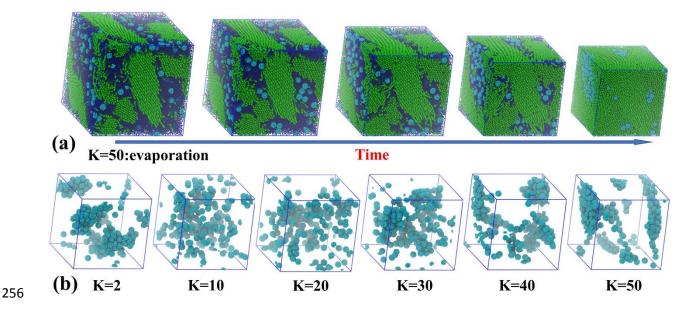


Figure 4. (a) Time evolution morphologies during evaporation runs for K = 50. The blue regions are filled with water beads. (b) Final particle morphologies of particles after evaporation for K = 2, 10, 20, 30, 40, and 50, respectively.

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The MSD plotted in Figure 5 quantifies the detail particle dynamics during evaporation in the systems of different polymer conformations. The reducing slope of MSD indicates that the dynamics of particles become slower and slower as water evaporates. The plateaus in the MSD curves show that the nanoparticles are gelated at the end of evaporation in all the simulations expect for the softest polymer system (K = 2). The nanoparticle dynamics in evaporation shows similar behavior as during equilibrium: the particles move slower in the polymers with intermediate stiffness and much faster in low and high stiffness systems. This means that the polymer structures resulting from different chain stiffness and

268 conformation is maintained during evaporation.

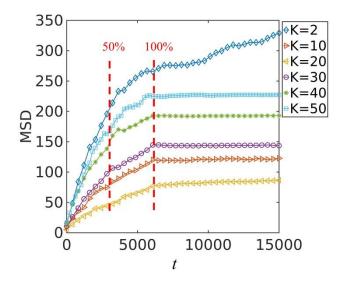


Figure 5. Mean square distance of particles for K = 2, 10, 20, 30, 40, and 50 during evaporations. The dash lines mark the progression of solvent evaporation.

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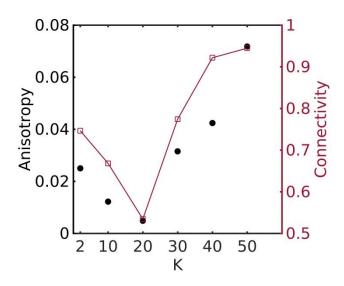
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273 We calculate the particle connectivity and cluster anisotropy to quantify the assembly structure. We define connected particles of radius r when their center-to-center distance is less than d_r , where 274 $d_r = 2r + \delta$ with $\delta = 0.1r$ as a tolerance introduced for reducing the effect of thermal fluctuations in the 275 cluster clarification. A cluster of particles is formed when there are more than $N_{\rm cf}$ particles being linked 276 together. We select $N_{\rm cf} = 12$ as it is the maximum nearest neighbor number of one particle. The degree of 277 particle connectivity is given by $N_{\rm c}/N_{\rm total}$, where $N_{\rm c}$ is the total number of particles that form clusters 278 and $N_{\rm total}$ is the total number of particles. The average cluster anisotropy of the polymer nanocomposite is 279 given by $\sum_{i} w_i a_i$, where $w_i = N_i / N_c$ and a_i are the weight fraction and relative shape anisotropy⁶⁴ of 280 cluster i. 281

282

As shown in Figure 6, the particle connectivity is lowest for polymer stiffness K = 20, indicating

a more dispersed particle distribution. The high values of connectivity for both softer and stiffer polymer 283 systems confirm that the high degree of nanoparticle aggregations observed in the simulation snapshots. 284 The low cluster anisotropy value of soft polymers (K = 2) corresponds to the globular clusters. When the 285 polymers are stiff enough to form bundling structures (K > 30), the cluster anisotropy clearly increases, 286 suggesting the high possibility of forming an open fractal network. The more spherical clusters formed in 287 the soft polymer matrix is thermodynamically driven, as a result of the minimization of unfavorable 288 289 enthalpic interactions between polymers and nanoparticles. The more dispersed state of particles in the 290 intermediate stiffness polymer system is attributed to the homogenous increase of viscous hindrance within 291 the entire bulk phase, which uniformly inhibits particle diffusion. While in the system with local polymer 292 bundles, the formation of anisotropic particle clusters is physically driven. The "voids" in these systems not 293 only offer extra space for particle aggregation, but also provide physical boundaries and connections for 294 the aggregates. Thus, the polymer conformational characteristic not only influences the dispersion state of 295 particles (dispersed vs. aggregated), but also influence the structure of particle assembly (globular vs. fractal). This resembles what has been observed in the experiments of ZnO-laden polymer films.²³ 296

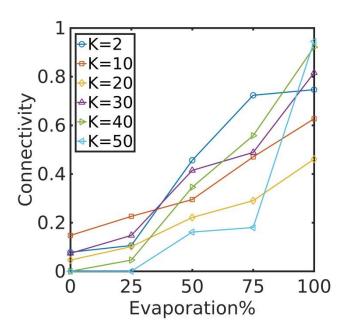


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Figure 6. Particle anisotropy and connectivity at the end of evaporation for different polymer stiffnessparameters *K*. The filled circle points are anisotropy values and the red plot shows the connectivity.

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Figure 7 plots the time evolution of particle connectivity during evaporation for different polymer 301 302 stiffnesses. Overall, the connectivity increases with the decrease in water content. Note that in soft polymer 303 systems (K = 2), the particles form clusters gradually, which become stable before the end of evaporation. 304 This confirms that the particles diffuse faster and can rapidly aggregate in flexible polymer matrices. Interesting, the connectivity at the early stage of the high stiffness systems (K = 40 and 50) is almost zero, 305 which indicates that the nanoparticles are initially well dispersed in the polymer-free "void" regions induced 306 307 by the bundling of polymers. As evaporation reaches its late stage, the connectivity exhibits a significant increase. In the K = 50 system, the connectivity exhibits a nearly first-order jump. These variations in 308 309 connectivity in high stiffness polymer systems confirm our hypothesis that the particles are confined in the "voids" between ordered polymer microdomains during evaporation. As water being evaporated out, 310 311 particle aggregation happens as a result of the compression of the boundaries of polymer bundles. In order 312 words, these polymer structure molds the final nanoparticle assembly.



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Figure 7. Particle connectivity during evaporation for different polymer stiffness systems. The horizontalaxis represents the percentage of water being evaporated.

317 C. Side chain effect

318 As demonstrated above, the anisotropic particle cluster formation in high polymer stiffness systems relies on the development of polymer nematic bundles, which creates local "cracks" that influence the 319 320 dynamics of the particles. In many physical systems of polymer nanocomposites, the molecular 321 architectures of polymer are more complicated than the simple "backbone" model. Polymers usually have side chain groups added to the backbone, and these side chain may disrupt the polymer assembly ordering. 322 323 In order to further demonstrate the importance of polymer crystallization on the nanoparticle assembly, as well as to represent more realistic polymer architectures, we incorporate soft side chains into to the 324 325 backbones of stiff polymers (K = 50) and perform the same simulations as before to study its influence. The side chain concentration varies from 0% to 40%. Each side chain is modeled as a soft chain (K = 2) 326 by connected N_s DPD beads, where N_s is randomly drawn from a normal distribution with a mean of 10 327 328 and a standard deviation of 1. The side chains are grafted to random positions along the backbone.

The snapshots in Figure 8(a) shows the polymer structure with orientational ordering at the end of 329 330 equilibrium is greatly disturbed by increasing the concentration of side chain. When the side chain 331 concentration is larger than 20%, the bundling completely diminishes. The weakening of the liquid crystalline ordering by adding soft side chains is further confirmed by the polymer nematic order parameter 332 in Figure 8(c), in which the nematic order parameter is greatly reduced as the side chain concentration 333 334 increases. This change in the polymer nematic ordering then influences the particle assembly. After 335 evaporation, the final particle anisotropy and connectivity in Figure 8(d) also confirms that the particle 336 assembly gradually loses anisotropy and becomes relatively random dispersion. These control simulations 337 further confirm that the key to forming particle fractal cluster is the "cracks" induced by the ordering of 338 polymers. Notably, in physical systems like cellulose derivatives, increasing the side chain length and the 339 degree of substitution decrease the degree of crystallinity but do not completely remove the crystalline domain.^{65–68} The detailed dynamics of the systems with different side chain concentrations can be seen in 340

341 Videos S7-S10.

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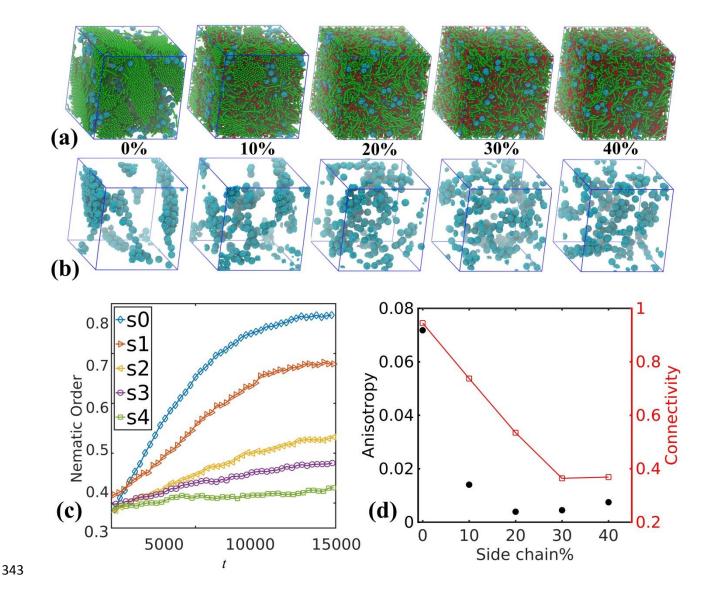


Figure 8. (a) Final polymer-particle morphologies for equilibrium runs under the solvation of 50% water at K = 50, with soft side chain polymer fractions of 0%, 10%, 20%, 30%, and 40%, respectively. The red beads are side chain beads. (b) The corresponding final morphologies of particle assembly after complete evaporation. (c)The nematic order parameters of the polymer backbone during the equilibrium runs for systems with different fractions of side chain beads. (d) Particle anisotropy and connectivity at the end of

evaporation for systems of different side chain percentages. The filled black circles are anisotropy valuesand the open red squares show the connectivity.

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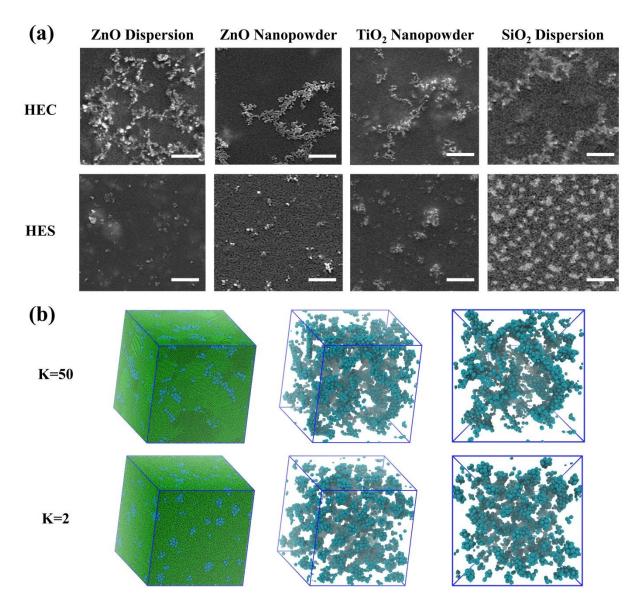
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353 D. Experiments of nanoparticle structures in HEC and HES matrices

Central to the distinct nanoparticle aggregate formation observed in our simulations is the 354 difference in the conformations of polymer chains. We further conducted experiments on polymer 355 nanocomposites to probe the nanoparticle assembly structures in physical systems. Our model systems had 356 HEC and HES as the coating matrices with various types of nanoparticles embedded within. Figure 9(a) 357 358 displays similar fractal aggregation structures of different nanoparticles assembled in the HEC matrix, 359 which are consistent with the structure observed in the large-scale simulation with stiff polymer (K = 50) 360 shown in Figure 9(b). In contrast, dense globular clusters of particles were formed in the HES coatings and 361 the K = 2 simulation system. The similar transition for different nanoparticles from globular clusters to 362 fractal aggregation structures when polymer matrix is switched from HES to HEC suggests that the change may be a result of physical effects, instead of the specific interactions between nanoparticle and polymer 363 matrix. Prior studies indicate that the persistence length of HEC is significantly longer than that of HES.^{69,70} 364 365 These experimental findings show good qualitative agreement with the simulation results with varying 366 polymer stiffness, and confirm our findings of the important role of polymer conformational characteristics on the morphological assembly of nanoparticles. 367

These new results highlight that our understanding of nanoparticle structure formation in polymer nanocomposites is still incomplete. This study serves the starting point of our efforts in uncovering mechanisms that govern nanoparticle assembly in different polymer matrices. The mesoscopic simulation represents a model system in which not all interactions relevant in experiment are considered explicitly. For example, long-range electrostatic interactions are important for colloidal assembly in polymer

nanocomposites,^{71,72} but have not been considered here. Numerical methods for incorporating electrostatic 373 interactions in DPD have been developed by multiple groups including us,^{73,73–76} and are readily available 374 for future studies of electrostatic effects in this system. Other specific interactions such as hydrogen 375 376 bonding^{77,78} are dependent on surface chemistry of nanoparticles, which can be studied implicitly in our 377 simulations by varying the effective interactions between components. Notably, increasing chain rigidity has been shown to increase the interfacial adsorption layer thickness in polymer nanocomposites.²⁰ This 378 enhanced adsorption of rigid polymers could promote the interparticle attractions mediated by the 379 380 interfacial layers and lead to the fractal aggregation. The interfacial properties of polymer nanocomposites and the possible bridging effect on the nanoparticle assembly could be significantly affected by the size of 381 nanoparticles,^{79–81} which will be investigated in future work. 382



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Figure 9. (a) Scanning electron microscopy micrographs of the nanoparticle aggregation patterns in dried 384 385 coating films in hydroxyethyl cellulose (HEC) and hydroxyethyl starch (HES) polymer binders. These 386 nanoparticles demonstrate similar assembly behaviors as a result of matrix selection. All nanoparticles are of 20-50 nm in diameter. The labels in the figure indicate the original format of the commercially acquired 387 388 nanoparticles. Scale bar is 1 µm. (b) Snapshots of dried polymer nanocomposites and nanoparticle 389 structures at different viewing angles obtained in the large-scale ($100 \times 100 \times 100$) simulations. The results 390 show the percolation of anisotropic aggregates into open fractal structures in the rigid polymer matrix in 391 contrast to the globular clusters in the soft polymer matrix, consistent with experiments.

393 Conclusions

394 In summary, this study highlights the importance of polymer conformation on the modulation of the nanoparticle assembly via particle thermodynamics, kinetics, and imposing physical confinements. The 395 396 simulation results show that polymers form highly ordered microdomains in solution as the persistence 397 length increases. These polymer structures in turn have a great impact on the dynamics and assembly of the 398 nanoparticles. The final nanoparticle assembly shows a clustered-dispersed-clustered structural transition 399 with increasing polymer stiffness. In very soft polymer systems, the nanoparticles form globular aggregates driven by unfavorable polymer-nanoparticle interactions. In intermediate stiff polymer systems, the 400 401 nanoparticles are well dispersed as particles kinetics being arrested in the polymer matrix. When polymer stiffness is high, the nanoparticles assemble into anisotropic clusters in the polymer-free "cracks" formed 402 403 concurrently with the local crystallization of the rigid polymers. The critical role of the "cracks" on the 404 formation of mesoscale fractal particle structures is further elucidated in the comparative study of the stiff polymer systems with side chains. The important role of polymer conformation on the morphological 405 assembly of nanoparticles was further corroborated by the experiments observing assembly structures of 406 407 different types of nanoparticles in the polymer matrices with different chain architectures.

Our simulation and experimental results suggest one can readily leverage chain conformation to 408 409 simultaneously modulate the polymer and nanoparticle assembly structures in polymer nanocomposites. In 410 particular, the local crystallization of stiff polymer bundles inhibits isotropic nanoparticle aggregation and 411 results in the formation of percolated particle networks. This fractal particle structure can play important 412 roles in a wide range of thin film applications. Moreover, polymers with specific molecular architectures (e.g., block copolymers) can be programmed to yield more complex structures for physically guiding local 413 nanoparticle aggregations into a well-defined, large-scale assembly. Unique properties can emerge in 414 polymer nanocomposites by controlling the particle assembly structure that spans different length scales. 415 The findings provide new ideas and opportunities for innovating design principles of structural 416

nanocomposite materials, which may find broad applications in areas such as coating materials, food
packaging, cosmetics, 3D printing and biomedicine.

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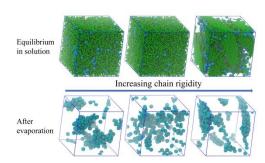
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Nanoparticle aggregation in a nanocomposite can be physically modulated by the polymer conformational change into open fractal structures.